PTO/SB/08A (10-01)

Approved for use through 10/31/2002.OMB 0651-0031
U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number Complete if Known Substitute for form 1449A/PTO Application Number 09/890.289 INFORMATION DISCLOSURE Filing Date January 13, 2000 STATEMENT BY APPLICANT First Named Inventor Paul R. Heaton Art Unit 1615 (use as many sheets as necessary) Examiner Name Not Yet Assigned 4 HO-P01910US0 Sheet 1 of Attorney Docket Number

		U.S. PA	TENT DOCUMENTS	
Cite No.1	Document Number	Publication Date	Name of Patentee or Applicant	Pages, Columns, Lines, Where Relevant
	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	of Cited Document	Passages or Relevant Figures Appear
.AA	2001/0006673-	07-05-2001	Hayek	
AB	6310090-	10-30-2001	Hayek	
AC	6133323-	10-17-2000	Hayek	
AD	5733884-	03-31-1998	Barbul et al.	
ĀĒ	5834044-	11-10-1998	Schmitz et al.	
AF	5643623-	07-01-1997	Schmitz et al.	/
•				
-				
				1/
	AA AB AC AD AE	Cite No.1 Number-Kind Code <sup>2</sup> (# known)  AA 2001/0006673- AB 6310090- AC 6133323- AD 5733884- AE 5834044-	Cite No.1         Document Number Number         Publication Date MM-DD-YYYY           AA         2001/0006673-         07-05-2001           AB         6310090-         10-30-2001           AC         6133323-         10-17-2000           AD         5733884-         03-31-1998           AE         5834044-         11-10-1998	Number-Kind Code <sup>2</sup> (if known)

		FOREIG	GN PATENT	DOCUMENTS		
Examiner	Cite	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)		Applicant of Cited Document	Passages or Relevant Figures Appear	T <sup>6</sup>
12	BA	IB-01/17364A1-	03-15-2001	Effem Foods Pty Ltd	<u> </u>	₩
						$\vdash$
						$\vdash$
						_
			<u> </u>			╁╌┨
			-			$\vdash$
		·				
						Ш

	$\triangle$	0	<u> </u>			1
Examiner Signature		jaresse	vans	Date Considered	2	2003

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See attached Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (10-01)

Approved for use through 10/31/2002.OMB 0651-0031

U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO				Complete if Known			
				Application Number	09/890,289		
. 11	NFORMATIC	N DI	SCLOSURE	Filing Date	January 13, 2000		
(	STATEMENT	BY A	APPLICANT	First Named Inventor	Paul R. Heaton		
				Group Art Unit	1615		
	(use as many	sheets as	necessary)	Examiner Name	Not Yet Assigned		
Sheet	2	of	4	Attorney Docket Number	HO-P01910US0		

		OTHER DRIOR ART. MON BATENT LITERATURE DOCUMENTS						
	-	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the						
Examiner Initials	Cite No. <sup>1</sup>	item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
UE	CA	Davis, H.J.; XP-002137042; Abstract Title: Vitamin and nutrient supplements- comprising antioxidant, carotenoid and gluten, used for preventing and treating viral infections in birds, humans, cats and dogs (ZA19960005149 - 06/18/1996)						
	СВ	XP-002137041; Abstract Title: Prepn. contg. water-soluble hemi-cellulose and alcohol(s) - used to give flavour, taste and colour to food, pharmaceuticals, cosmetics and pet food. (JP19930254817 - 09/17/1993)						
Ì	СС	Prasad, Kailash, et al.; Oxidative Stress as a Mechanism of Cardiac Failure in Chronic Volume Overload in Canine Model; J Mol Cell Cardiol; Vol. 28, pages 375 - 385; 1996						
	CD	Haq, A.U., et al.; Neonatal Immune Response and Growth Performance of Chicks Hatched from Single Comb White Leghorn Breeders Fed Diets Supplemented with b-Carotene, Canthaxanthin, or Lutein. Poultry Scieince; Vol. 74, pages 844 - 851; 1995						
	CE	Haq, Akram-UI, et al.; Effect of B-Carotene, Canthaxanthin, Lutein, and Vitamin E on Neonatal Immunity of Chicks When Supplemented in the Broiler Breeder Diets. Poultry Science; Vol. 75, pages 1092 - 1097; 1996						
	CF	Chew, Boon P.; Antioxidant Vitamins Affect Food Animal Immunity and Health. J. Nutr.; Vol. 125, pages 1804S - 1808S; 1995						
	CG	Chew, Boon P. et al.; Uptake of Orally Administered B-Carotene by Blood Plasma, Leukocytes, and Lipoproteins in Calves. J. Anim. Sci.; Vol. 71, pages 730 - 739; 1993						
	СН	MacDonald, M.L., et al.; Nutrition of the Domestic Cat, a Mammalian Carnivore. Ann. Rev. Nutr.; Vol. 4, pages 521 - 562; 1984						
	CI	Chew, Boon P.; Symposium: Immune Function: Relationship of Nutrition and Disease Control - Vitamin A and B-Carotene on Host Defense. J Dairy Sci; Vol. 70, pages 2732 - 2743; 1987						
	CJ	Park, Jean Soon et al.; Dietary Lutein Absorption from Marigold Extract is Rapid in BALB/c Mice. J. Nutr.; Vol. 128, pages 1802 - 1806; 1998						
	СК	Chew, Boon P., et al.; Dietary BCarotene Stimulates Cell-Mediated and Humoral Immune Response in Dogs. J. Nutr.; Vol. 130, pages 1910 - 1913;; 2000	_					
	CL.	Kallfelz, Francis A., et al.; Overnutrition: An Epidemic Problem in Pet Animal Practice? Veterinary Clinics of North America: Small Animal Practice; Vol. 19 (3), pages 433 - 446; 1989						
	СМ	Bendich, Adrianne; Carotenoids and the Immune Response. J. Nutr.; Vol. 119, pages 112 - 115; 1989						
	CN	Bendich, Adrianne; Antioxidant Micronutrients and Immune. Annals New York Academy of Sciences; pages 168 - 180.						
	СО	Sies, Helmut, et al.; Antioxidant Functions of Vitamins - Vitams E and C, Beta-Carotene, and Other Carotenoids. Annals New York Academy of Sciences, pages 7 - 20						
	СР	Palozza, Paola, et al.; Communication - B-Carotene and Z-Tocopherol Are Synergistic Antioxidants. Archives of Biochemistry and Biophysics; Vol. 297 (1), pages 184 - 187; 1992						
	CQ	Schmidt, Karlheinz; Antioxidant vitamins and B-carotene: effects on immunocompetence. Am J Clin Nutr; Vol. 53, pages 383S - 385S; 1991						
	CR	VPN Staff Report; Antioxidants may improve pet health. Veterinary Product News; Vol. 6 (3); March/April 1994						
	CS	Antioxidant vitamin 'missing link' for pets. Feedstuffs; Vol. 67 (2); January 9, 1995						
	СТ	Pharmacy Update: Antioxidant Vitamins in Canine Nutrition. Veterinary Technician; page 587; November/December 1994						
CLE	CU	Machlin, Lawrence J.; Antioxidant vitamins - Role in disease prevention. Pet Food Industry; Vol. 35 (6); November/December, 1993						

PTO/SB/08B (10-01)
Approved for use through 10/31/2002.OMB 0651-0031
U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under t	he Paperwo	rk Reduction Act	of 1995	o, no persons are required to	respond to a collection of inform	nation unless it co	ntains a v	valid OMB control	number.
Substitute for form 1449B/PTO				Complete if Known					
	Cassada of the 1440sh 10				Application Number	09/890,289	9		
١N	<b>NFOR</b>	MATION	I DI	SCLOSURE	Filing Date	January 13, 2000			
S	STATEMENT BY APPLICANT				First Named Inventor	Paul R. Heaton			
					Group Art Unit	1615			
	(u	se as many she	ets as	necessary)	Examiner Name	Not Yet As	signe	d C	
Sheet	heet 3 of 4		Attorney Docket Number	HO-P0191	0US0	7	3		
	7								<del>2</del> 1
									23
		<u> </u>							
	+								+ 3
	1	$\Delta \theta$		$\overline{}$		Data	- 1		
Exami Signat		UMU	M	2 Crans		Date Considered	121	2003	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.